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SEISMIC FORCES ACTING ON DUCTWORK

When subjected to an earthquake, ductwork must resist lateral and axial buckling forces, and the restraint components for these systems must resist pullout and localized structural failures.

Most duct systems are suspended from the deck above on either fixed or isolated hanger rod systems. While ducts are normally supported singly, there may occasionally be multiple ducts attached to a common trapeze. On some occasions ducts may run vertically or may be mounted to the floor.

D8.1.1 Suspended Systems

Most codes do not require that ductwork supported on non-moment generating (swiveling) hanger rods 12 in or less in length be restrained. The 12 in length was determined based on the natural frequency of systems supported on the short hanger rods. In practice, it has been found that the vibrations generated by earthquakes do not excite these types of systems and, although the ducts move back and forth somewhat as a result of an earthquake, they do not tend to oscillate severely and tear themselves apart.

There are also exclusions in most codes for small ducts (under 6 square ft in area) no matter what the hanger rod length. Again, the basis for this exclusion is based on the post-earthquake review of many installations. It has been found that smaller ducts are light and flexible enough that they cannot generate enough energy to do significant damage to themselves.

For cases where restraints are required, however, the forces involved can be significant. This is due to the difference between the required spacing of ductwork supports and restraints. Supports for ductwork will normally carry the weight of approximately 10 ft of duct (in the case of trapezes, this could be multiple ducts, but the length is still held to approx 10 ft). Seismic restraints, on the other hand, are normally spaced considerably further apart, with the spacing varying by restraint type, restraint capacity, duct size, and the seismic design load. It is very important to be aware of the impact of the difference in spacing, as the wider this spacing, the larger the seismic load when compared to the support load. Guidance in determining restraint spacing requirements is available in Chapter D4 of this manual.

To illustrate this difference, consider a simple example of a 54 x 60 inch duct weighing about 50 lb/ft being restrained against a 0.2g seismic force. Assume the axial restraints are located on 80 ft centers (the max permitted) and supports are located on 10 ft centers. The load that is applied to the hanger rods by the weight of the duct is 50 lb/ft x 10 ft or 250 lb each (assuming two support rods). The horizontal load that occurs at the restraint locations is the total restrained weight (50 lb/ft x 80 ft = 4000 lb) multiplied by the seismic force (0.2g) or 800 lb. Thus the seismic load is considerably larger than the vertical dead load.
Restraints for suspended systems are normally in the form of cables or struts that run from the duct up to the deck at an angle. Because of the angle, horizontal seismic loads also generate vertical forces that must be resisted. Therefore, restraint devices must be attached at support locations so that there is a vertical force-resisting member available.

As the angle becomes steeper (the restraint member becomes more vertical), the vertical forces increase. At 45 degrees the vertical force equals the horizontal force and at 60 degrees the vertical force is 1.73 times the horizontal force.

The net result is that for cable systems or for struts loaded in tension, the uplift force at the bottom end of the restraint can be considerably higher than the downward weight load of the duct. Returning to our example, assume that we have a restraint member installed at a 60 degree angle from horizontal and that the lateral force will load it in tension. In this case, the 800 lb seismic force generates an uplift force of 1.73 x 800 lb or 1384 lb. This is 884 lb more than the support load and, depending upon the support rod length and stiffness, can cause the support rod to buckle. Rod stiffeners are used to protect against this condition and sizing information is available in Chapter D4 of this manual.

Unlike cables, if struts are used for restraint they can also be loaded in compression. In the example above, if the strut were loaded in compression, the 1384 lb load would be added to the support load (trying to pry the hanger rod out of the deck). The total support capacity required would be 1384 lb + 500 lb or 1884 lb. As a consequence, when using struts, the hanger rod must be designed to support 1884 lb instead of the 500 lb maximum generated with cables. Hanger rod sizing information is also available in Chapter D4 of this manual.

**D8.1.2 Riser Systems**

Where ductwork systems are running vertically in structures, except for the loads directly applied by vertical seismic load components identified in the code, there will be little variation in vertical forces from the static condition. Lateral loads are normally addressed by duct guides and the spacing between duct guides is not to exceed the maximum tabulated lateral restraint spacing indicated in the design tables in Chapter D4.

**D8.1.3 Floor-Mounted Systems**

The primary difference between floor- and ceiling-mounted ductwork systems is that the support loads in the duct support structure are in compression instead of tension (as in the hanger rods). Although a support column and diagonal cables can be used, a fixed stand made of angle or strut is generally preferred. Rules relating to restraint spacing and the sizing information for diagonal struts are the same as for hanging applications.

However, the support legs need to be designed to support the combined weight and vertical seismic load (for a two-legged stand and the example above, 500 lb / 2 + 1384 lb or 1634 lb) in compression (Note: 500 lb / 2 is the load per leg for two legs). The anchorage for the legs...
needs to be able to withstand the difference between the dead weight and the vertical seismic load (in the example above 1384 lb - 500 lb / 2 or 1134 lb).
BASIC PRIMER FOR SUSPENDED DUCTWORK

Probably the two biggest immediate concerns with failed ductwork following earthquakes has to do with the maintenance of adequate smoke ventilation capability and personal injury for those that might be in the area when a duct fails. These are applicable to virtually all types of structures. For hospitals and other emergency response facilities, the loss of heating or air-conditioning can effectively shut down the facility. The shutdown can occur not only because of thermal issues, but also because the flow of air in a hospital is controlled to minimize the spread of infection.

Frequently the costs and damage to relationships between building owners and tenants incurred as a result of the inability to occupy a structure can be considerably more than the costs of damage to the building structure itself.

Because of the impact that failures in air distribution systems have had in the past, design requirements for these kinds of systems have become much more stringent.

Within a building structure there can be several different kinds of air distribution and venting systems, each with its own function and requirements. These include fire smoke control ventilation, laboratory ventilation, medical filtration and isolation systems, and kitchen or bathroom vents. Requirements for the systems vary based on the criticality or hazardous nature of what is being transported. Code-mandated requirements for the restraint of ductwork is addressed in Section D2 of this manual (Seismic Building Code Review).

Prior to applying this section of the manual, it is assumed that the reader has reviewed Chapter D2 and has determined that there is indeed a requirement for the restraint of piping. This chapter of the manual is a “how to” guide and will deal only with the proper installation and orientation of restraints and not whether or not they are required by code or by specification.

This chapter also does not address the sizing of restraint hardware. Chapter D4 includes sections on sizing componentry based on the design seismic force and the weight of the system being restrained.

Process piping is not directly associated with building operating systems and often has its own set of requirements. If there are no applicable special requirements, these systems should be restrained in a similar fashion to the building mechanical systems. This manual will not address any special requirements for process piping systems.

Building mechanical systems (potable water, HVAC, sanitary) can normally be grouped together and have similar design requirements. In many cases, requirements for fuel, gas, and medical gasses are more stringent. Refer to the code review chapter (D2) of the manual for applicable design requirements.
In many cases, ducts can be excluded from restraint if they are small enough in size or mounted in close proximity to the ceiling structure. When applying this exemption, current codes require the installation of a “non-moment generating connection” at the top anchorage point. This term is often confusing and deserves further clarification. A “non-moment generating connection” is one that will allow the supported duct to swing freely in any direction if acted on by an outside force. Some examples of “non-moment generating connections” are illustrated below.

**Figure D8.2-1; Non-moment generating connections**

- **DOUBLE NUT**
- **ISOLATOR**
- **CLEARANCE**
- **CHAIN**
- **CABLE**
PROS AND CONS OF STRUTS vs CABLES

Both cables and struts have their place in the restraint of ductwork. In order to minimize costs and speed up installation, the differences between the two should be understood.

In general, ducts restrained by struts will require only 1 brace per restraint location while ducts restrained with cables require that 2 cables be fit forming an “X” or a “V”. As a trade-off, the number of restraint points needed on a given run of duct will typically be considerably higher for a strut-restrained system than for the cable-restrained system and, generally, strut-restrained systems will be more costly to install.

An added factor to consider when selecting a restraint system is that once a decision is reached on the type to use for a particular run, code requirements state that the same type of system must be used for the entire run (all cable or all strut). Later sections in this chapter will define runs, but for our purposes at present it can be considered to be a more or less straight section of duct.

The obvious advantage to struts is that, when space is at a premium, cables angling up to the ceiling on each side of a run may take more space than is available. Struts can be fit to one side only, allowing a more narrow packaging arrangement.

The advantages of cables, where they can be used, are numerous. First, they can usually be spaced less frequently along a duct than can struts. Second, they cannot increase the tensile forces in the hanger rod that result from the weight load, so rod and rod anchorage capacities are not impacted. Third, they are easily set to the proper length. And fourth, they are well suited to isolated duct applications.

To better explain the differences between the systems, it is necessary to look at how seismic forces are resisted with cables and struts. Shown below are sketches of both cable-restrained and strut-restrained duct.

**Figure D8.3-1; Cable Restrained Duct**
The key factor to note is that cables can only be loaded in tension. This means that seismic forces can only generate compressive loads in the hanger rod. Seismic forces can, however, load the strut in compression resulting in a tensile load on the hanger rod.

This tensile load is in addition to any deadweight load that may already be supported by the hanger and is often significantly higher than the original load. This has the potential to rip the hanger rod out of the support structure and must be considered when sizing components.

Because of this added tensile component and the resulting impact on the necessary hanger rod size, most strut manufacturers limit the maximum allowable strut angle (to the horizontal) to 45 degrees. This is lower than typical allowable angles for cables that often reach 60 degrees from the horizontal. Although the data provided in Section D4.4 of this manual allow the use of higher angles for strut systems, users will find that the penalties in hanger rod size and anchorage will likely make these higher angles unusable in practice. To put this into context, examples will be provided at both 45 degrees and 60 degrees from the vertical to indicate the impact on capacity that results from the angle.

For a 45 degree restraint angle, if we assume a trapeze installation with the weight (W) equally split between 2 supports, the initial tension in each support is 0.5W. Using a 0.25g lateral design force (low seismic area), the total tensile load in a hanger increases to 0.75W for bracing on every support and 1.0W for bracing on every other support, if a strut is used.

For reference, if struts are used in a 60 degree angle configuration (from the horizontal), the tensile force in the hanger rod for all cases increases by a factor of 1.73 (\(\tan 60\)) over that listed in the previous paragraph. This means that the tensile force becomes .94W for bracing on every support and 1.36W for bracing on every other support.

On the other hand, where 0.25g is applicable, buckling concerns in the duct are such that the spacing between lateral restraints can be as high as 40 ft and for axial restraints, 80 ft. If we were to try to use struts placed at a 40 ft spacing in conjunction with supports spaced at 10 ft, the tensile force developed by a seismic event in the rod increases to 1.5W for 45 degree configurations and to 2.23W for 60 degree configurations.
As mentioned earlier, there is no increase in the rod forces for cable-restrained systems.

Using real numbers based on a 40 ft restraint spacing and a 60 degree angle configuration, if the peak tensile load in the hanger rod is 500 lb for a cable restrained system, it becomes 2230 lb for an otherwise identical strut-restrained system.

A summary of the above data, based on a 500 lb weight per hanger rod (1000 lb per trapeze bar) and including concrete anchorage sizes and minimum embedment is shown below.

### Table D8.3-1; Hanger Rod Tensile Loads

<table>
<thead>
<tr>
<th>Summary of Hanger Rod Tensile Loads based on 500 lb per Rod Weight</th>
<th>Tens Force (lb)</th>
<th>Min Rod (in)</th>
<th>Min Anc (in)</th>
<th>Embed (in)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Every Hanger Braced (10')</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cable Angle = 45</td>
<td>500</td>
<td>0.38</td>
<td>0.38</td>
<td>3.00</td>
</tr>
<tr>
<td>Strut Angle = 45</td>
<td>750</td>
<td>0.38</td>
<td>0.38</td>
<td>3.00</td>
</tr>
<tr>
<td>Cable Angle = 60</td>
<td>500</td>
<td>0.38</td>
<td>0.38</td>
<td>3.00</td>
</tr>
<tr>
<td>Strut Angle = 60</td>
<td>933</td>
<td>0.50</td>
<td>0.50</td>
<td>4.00</td>
</tr>
<tr>
<td>Every other Hanger Braced (20')</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cable Angle = 45</td>
<td>500</td>
<td>0.38</td>
<td>0.38</td>
<td>3.00</td>
</tr>
<tr>
<td>Strut Angle = 45</td>
<td>1000</td>
<td>0.50</td>
<td>0.50</td>
<td>4.00</td>
</tr>
<tr>
<td>Cable Angle = 60</td>
<td>500</td>
<td>0.38</td>
<td>0.38</td>
<td>3.00</td>
</tr>
<tr>
<td>Strut Angle = 60</td>
<td>1365</td>
<td>0.50</td>
<td>0.63</td>
<td>5.00</td>
</tr>
<tr>
<td>Every fourth Hanger Braced (40')</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cable Angle = 45</td>
<td>500</td>
<td>0.38</td>
<td>0.38</td>
<td>3.00</td>
</tr>
<tr>
<td>Strut Angle = 45</td>
<td>1500</td>
<td>0.63</td>
<td>0.63</td>
<td>5.00</td>
</tr>
<tr>
<td>Cable Angle = 60</td>
<td>500</td>
<td>0.38</td>
<td>0.38</td>
<td>3.00</td>
</tr>
<tr>
<td>Strut Angle = 60</td>
<td>2230</td>
<td>0.63</td>
<td>0.75</td>
<td>6.00</td>
</tr>
<tr>
<td>Max Spacing between Braces (80')</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cable Angle = 45</td>
<td>500</td>
<td>0.38</td>
<td>0.38</td>
<td>3.00</td>
</tr>
<tr>
<td>Strut Angle = 45</td>
<td>2500</td>
<td>0.75</td>
<td>0.75</td>
<td>6.00</td>
</tr>
<tr>
<td>Cable Angle = 60</td>
<td>500</td>
<td>0.38</td>
<td>0.38</td>
<td>3.00</td>
</tr>
<tr>
<td>Strut Angle = 60</td>
<td>3960</td>
<td>0.88</td>
<td>1.00</td>
<td>8.00</td>
</tr>
</tbody>
</table>

Note: The above anchorage rating is based on typical anchor allowables only. Often the underside of a concrete floor slab is in tension and if this is the case, the anchorage capacity may need to be further de-rated (forcing the need for an even larger hanger rod than is indicated here).

The net result is that the ability to use struts is highly dependent on the hanger rods that are in place. If the hangers were sized simply on deadweight, the added seismic load, even in relatively low seismic areas, can quickly overload them. The only recourse is to either replace the hanger rods with larger ones or decrease the restraint spacing to the point at which virtually every support rod is braced.
It should also be noted that the hanger rods in tension become seismic elements. This occurs with struts, but does not with cables. As a result, the system must comply with all of the anchor requirements specified by ICC. This includes the use of seismically rated anchors and embedment depths that are in conformance with ICC requirement for those types of anchors. With larger anchor sizes, floor slab thickness may cause this to become a significant problem.

With both cables and struts, the hanger rods can be loaded in compression. As the seismic force increases, it eventually overcomes the force of gravity and produces a buckling load in the hanger rod. It is mandatory in all cases that the rod be able to resist this force.

There is a wide range of variables involved in determining the need for rod stiffeners to resist this buckling load. Factors that impact this need are 1) the magnitude of the compressive force, 2) the weight load carried by the hanger rod, 3) the length of the hanger rod, 4) the diameter of the hanger rod, and 5) the angle between the restraint strut or cable and the horizontal axis.

Charts are included in Section D4.4 of this manual that allow the user to determine if there is a need for a stiffener and to allow the proper selection if required.

Because uplift occurs, some attention must be given to isolated systems. First, when using isolators, the location of the isolation element needs to be at the top end of the hanger rod (close to but not tight against the ceiling). If placed at the middle of the hanger rod, the rod/isolator combination will have virtually no resistance to bending and will quickly buckle under an uplift load.

Second, a limit stop must be fit to the hanger rod, just beneath the hanger such that when the rod is pushed upward a rigid connection is made between the hanger housing and the hanger rod that prevents upward motion. This is accomplished by adding a washer and nut to the hanger rod just below the isolator (see the sketch below).

**Figure D8.3-3; Installation for an Isolation Hanger in a Seismic Application**

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**PROS AND CONS OF STRUTS vs CABLES**

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**SECTION – D8.3**

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DUCT RESTRAINTS-DEFINITIONS AND LOCATING REQUIREMENTS

There are a number of design guides that have been developed over the years but the one with the longest history and most widely accepted is SMACNA. They have developed a handbook that offers conservative guidance that an end user can reference in selecting and installing restraints for distribution systems. While the information provided in that handbook is good, it suffers from a couple of inherent drawbacks. The first is that because it is linked to generically available hardware, the ratings that are assumed for the various hardware components are the lowest of any of the many possibilities available in the marketplace. The net result is that the suggested hardware is larger in general than that which could be used if higher quality componentry it specified. The second is that their presentation of the results is extremely cumbersome and difficult to use.

While the criteria presented in this document is based on the guidance offered by SMACNA, it has been possible to increase the component ratings as the actual capacity, type and manufacture of these components is clearly known. In addition, based on a critical review of the data presentation in the SMACNA handbook, it has been possible to greatly simplify the method of selection making the end result much simpler to use.

With respect to the conceptual restraint arrangement illustrations, the SMACNA concepts are appropriate and are referenced here.

In general, Ducts are restrained in lengths called “runs.” Therefore before getting into a detailed review of the restraint systems it is imperative that a definition of “run” as well as other key terms be addressed.

D8.4.1-1; Definitions

**Axial** In the direction of the axis of the duct.

**Lateral** Side to side when looking along the axis of the duct.

**Restraint** Any device that limits the motion of a duct in either the lateral or axial direction.

**Run** A more or less straight length of duct where offsets are limited to not more than S/16 where S is the maximum permitted lateral restraint spacing (a function of ductwork size and seismic forces) and the total length is greater than S/2. (Note: S dimensions for various conditions are listed in Chapter D4.)
**Short Run**  A run as defined above where the total length is less than $S/2$ and where it is connected on both ends to other runs or short runs.

**Drop**  A length of duct that normally extends down from an overhead run and connects to a piece of equipment, usually through some type of flex connector. The drop can also extend horizontally. In order to qualify as a drop, the length of this pipe must be less than $S/2$. If over $S/2$, the length of pipe would be classified as a run.
D8.4.1-2; Restraint Requirements

1) Full runs (greater in length than S/2) must be restrained in both the axial and lateral direction. If the run is not a short run or a drop, it must, as a minimum, be laterally restrained at the last support location on each end.

2) If a run is longer than “S,” intermediate restraints are required to limit the spacing to that permitted by the building code (see table in Chapter D4).

3) Axial restraints attached to the run of duct along its length must be connected using a positive connection between the duct and the restraint.

4) Short runs or drops need only have one lateral and one axial restraint.
5) If a lateral restraint is located within 2 feet of a corner (based on a measurement to the duct centerline) or is immediately adjacent to the duct for larger ducts, it can be used as an axial restraint on the intersecting run.

6) Larger ducts cannot be restrained with restraints located on smaller branches.
7) Within a run, the type of restraint used must be consistent. For example, mixing a strut with cable restraints is not permitted.
8) With longer hanger rods, rod stiffeners are likely to be required. Refer to the appropriate table in Chapter D4 to determine: (1) if needed, (2) what size stiffener material is appropriate, and (3) how frequently it needs to be clamped to the hanger rod.

Figure D8.4.1-8; Rod Stiffener

9) In addition to possibly requiring rod stiffeners, when struts are used to restrain ductwork, the size of the hanger rod and its anchorage also become critical. Again refer to the appropriate table in Chapter D4 to determine the minimum allowable size for the hanger rod and anchor.

Figure D8.4.1-9; Hanger Rod Sizing (For Strut Applications)
10) In some cases, it may be possible to locate the ducts close enough to the support structure (12") to eliminate the need for restraint. (Refer to the building code review chapter (D2) to determine if this exemption is applicable.) If it is applicable, the 12" dimension is measured as shown below.

Figure D8.4.1-10; 12" Hanger Rod Exemption

11) When using the above rule it is critical that all support locations in a run conform. If even one location exceeds 12", the run cannot be exempted from restraint.

Figure D8.4.1-11; Run Fails the Consistency Requirement for 12" Exemption
CEILING SUPPORTED RESTRAINT ARRANGEMENTS

Although the basic principle of diagonal bracing is almost always used to design restraint systems, the actual arrangement of these systems can vary significantly. Despite what looks like substantially different designs, the design forces in the members remain the same, and the same rules apply when sizing components. Illustrated here are many different restraint arrangements, all of which can be used in conjunction with the design “rules” provided in this manual.

Details of the end connections and anchorage hardware are shown in subsequent sections of the manual. It is assumed in this manual that the restraint component is attached to a structural element capable of resisting the design seismic load.

Due to variations in the installation conditions such as structural clearance, locations of structural attachment points, and interference with other pieces of equipment or systems, there will likely be significant benefits to using different arrangements in different locations on the same job.

The only significant caution here is that it is not permissible to mix struts and cables on the same run.

This manual addresses diagonal bracing slopes of between horizontal and 60 degrees from the horizontal. Angles in excess of 60 degrees to the horizontal are not permitted.

Figure D8.4.2-1; Permissible Restraint Angles

When installing restraints, lateral restraints should be installed perpendicular (±10 degrees) to the duct in plan. Axial restraints should be in line with the duct,
±10 degrees, again in the plan view. All restraint cables should be aligned with each other. See the sketch below.

**Figure D8.4.2-2; Restraint Alignment**

![Restraint Alignment Diagram](image)

In general, when restraining ductwork, the component actually being restrained is the support device for the duct. This is normally either a ring clamp made of gauge material, or a trapeze bar. Because the goal is to restrain the actual duct, it is necessary that the restrained element be connected to the duct in such a way as to transfer the appropriate forces between the two.

Based on the Maximum Horizontal Force requirement, the appropriate size and quantity of fasteners to connect ducts to support/restraint members is as follows:

<table>
<thead>
<tr>
<th>Force (Lbs)</th>
<th>250</th>
<th>500</th>
<th>1000</th>
<th>2000</th>
<th>5000</th>
<th>10000</th>
</tr>
</thead>
<tbody>
<tr>
<td>#10 Screw</td>
<td>3</td>
<td>5</td>
<td>10</td>
<td>20</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Force (Lbs)</td>
<td>3</td>
<td>6</td>
<td>20</td>
<td>40</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

When firmly connecting restraints to ductwork there are a few general rules that should be followed:

1) Attachment screws should be spread evenly either around or along the top and bottom of the duct.
2) To minimize wind noise, short screws with minimal projection into the air stream should be selected.
3) Trapeze-mounted ductwork must be fully encompassed by a frame or screwed to the trapeze at each lateral restraint point.
4) Axially restrained duct connections must be positive and require screws as indicated above.

In addition, when sizing restraint components for multiple ducts, the total weight of all of the restrained ductwork must be considered.
D8.4.2.1 Hanging Systems Restrained with Cables

Hanging systems may include supports for single or multiple ducts. Single ducts can be supported using brackets made of gauge material but multiple ducts are normally supported on or suspended from trapeze bars.

Lateral Restraint Examples

For a cable-restrained duct supported by a circular bracket made of gauge material, there is really only one mounting arrangement. It can be used with both isolated and non-isolated systems as shown below. Note that the isolators are mounted with minimal clearance to the structure and that a travel limiting washer is fitted to the hanger rod just below the isolator in the isolated arrangement.

Figure D8.4.2-3; Lateral Cable Restraints used with a Gauge Material Ring Clamp (Non-isolated)

Figure D8.4.2-4; Lateral Cable Restraints used with a Gauge Material Ring Clamp (Isolated)

There are many options that exist for the arrangements of lateral restraints used in conjunction with trapeze-mounted systems. Shown below are several options for both non-isolated and isolated cable-restrained systems.
Figure D8.4.2-5; Lateral Cable Restraints Mounted to a Trapeze (Non-isolated)

\[ \underline{\underline{\text{TOP}}\ (\text{BOTTOM})} \quad \text{TRAPPED} \]

\[ \underline{\underline{\text{TOP}}\ (\text{BOTTOM})} \quad \text{BOXED} \]

\[ \underline{\underline{\text{V} \ (\text{TOP})}} \quad \text{BOXED} \]

\[ \underline{\underline{\text{X} \ (\text{TOP})}} \quad \text{TRAPPED} \]

Figure D8.4.2-6; Lateral Cable Restraints Mounted to a Trapeze (Isolated)

\[ \underline{\underline{\text{TOP}}\ (\text{BOTTOM})} \quad \text{TRAPPED} \]

\[ \underline{\underline{\text{TOP}}\ (\text{BOTTOM})} \quad \text{BOXED} \]

\[ \underline{\underline{\text{V} \ (\text{TOP})}} \quad \text{BOXED} \]

\[ \underline{\underline{\text{X} \ (\text{TOP})}} \quad \text{TRAPPED} \]
Axial Restraint Examples

When axially restraining ductwork, a perimeter metal strap or angle tightly screwed to the duct is the most common device used to retain the duct to the support bar. Occasionally connections to flanges are used. (Caution: Connections to flanges should only be used with the flange manufacturer’s concurrence that the flange can withstand the seismic forces.) Details on these connections will be addressed in later sections.

Axial restraints offset from the centerline of restrained ductwork will generate additional bending forces in the duct. Because of the nature of ducts, unless restraints are fit on both sides, there will be an offset. As long as the restraint is immediately adjacent to the duct, it is permissible to use a single restraint point for axial restraint. For cases where multiple ducts are being supported on a common structure, the axial restraint should be between ducts in line with the approximate side-to-side center of gravity location.

Figure D8.4.2-7; Axial Cable Restraints (Non-isolated)
D8.4.2.2 Hanging Systems Restrained with Struts

Struts typically should not be used to restrain isolated ductwork as they will generate hard connections between the duct and structure and will greatly reduce the efficiency of the isolation system. Having said that, in some special situations it may be possible to design restraint struts with integral isolation elements, but this is tedious and should be avoided unless drastic measures are required.

Lateral Restraint Examples

For a strut-restrained duct supported by a bracket made from gauge material there is only one common arrangement. It is to connect the restraint to the base of the hanger rod at the attachment point to the bracket. It is shown below.
Figure D8.4.2-10; Shown below are 3 options for trapeze-supported ductwork. All are equivalent.

**Axial Restraint Examples**

When axially restraining ductwork with struts, a perimeter metal strap or angle tightly screwed to the duct is the most common device used to retain the duct to the support bar. Occasionally connections to flanges are used. (Caution: Connections to flanges should only be used with the flange manufacturer’s concurrence that the flange can withstand the seismic forces.) Details on these connections will be addressed in later sections.

As with cables, struts offset from the centerline of restrained ductwork will generate additional bending forces in the duct. Because of the nature of ducts, unless restraints are fitted on both sides, there will be an offset. As long as the restraint is immediately adjacent to the duct, it is permissible to use a single restraint point for axial restraint. For cases where multiple ducts are being supported on a common structure, the axial restraint should be between ducts in line with the approximate side-to-side center of gravity location.

Ignoring the details of the connection at this point, common axial restraint arrangements recognized in this manual are illustrated below.

Figure D8.4.2-10; Ductwork Axially Restrained with Struts
FLOOR- OR ROOF-SUPPORTED DUCT RESTRAINT ARRANGEMENTS

Although the basic principle of diagonal bracing is almost always used to design restraint systems, the actual arrangements of these systems can vary significantly. Despite what look like substantially different designs, the design forces in the members remain the same, and the same rules apply when sizing components. Illustrated here are many different floor- and roof-mounted restraint arrangements, all of which can be used in conjunction with the design “rules” provided in this manual.

Details of the end connections and anchorage hardware are shown in subsequent sections of this manual. It is assumed in this manual that the restraint component is attached to a structural element capable of resisting the design seismic load.

This manual addresses diagonal bracing oriented between horizontal and 60 degrees from the horizontal. Angles in excess of 60 degrees to the horizontal are not permitted.

When installing restraints, lateral restraints should be installed perpendicular (±10 degrees) to the duct in the plan view. Axial restraints should be in line with the duct (±10 degrees) again in the plan view. All restraint cables should be aligned with each other. See the sketch below.

Figure D8.4.3-2; Restraint Alignment

Lateral Restraint
MAX 10°
ALIGNED

Axial Restraint
MAX 10°
ALIGNED
In general, when restraining ductwork the component actually being restrained is the support device for the duct. For floor-mounted equipment this would normally be either a fabricated frame or a trapeze bar. Because the goal is to restrain the actual duct, it is necessary that the restrained element be connected to the duct in such a way as to transfer the appropriate forces between the two.

Based on the Maximum Horizontal Force requirement and Force Class from Section D4, the appropriate size and quantity of fasteners to connect ducts to support/restraint members is as follows:

Based on the Maximum Horizontal Force requirement, the appropriate size and quantity of fasteners to connect ducts to support/restraint members is as follows:

<table>
<thead>
<tr>
<th>Force (Lbs)</th>
<th>250</th>
<th>500</th>
<th>1000</th>
<th>2000</th>
<th>5000</th>
<th>10000</th>
</tr>
</thead>
<tbody>
<tr>
<td>#10 Screw</td>
<td>3</td>
<td>5</td>
<td>10</td>
<td>20</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

When firmly connecting restraints to ductwork there are a few general rules that should be followed:

1) Attachment screws should be spread evenly either around or along the top and bottom of the duct.
2) To minimize wind noise, short screws with minimal projection into the air stream should be selected.
3) Trapeze-mounted ductwork must be fully encompassed by a frame or screwed to the trapeze at each lateral restraint point.
4) Axially restrained duct connections must be positive and require screws as indicated above.

In addition, when sizing restraint components for multiple ducts the total weight of all of the restrained ductwork must be considered.

D8.4.3.1 Floor- or Roof-mounted Systems Restrained with Cables

Floor- or roof-mounted systems may include supports for single or multiple ducts. Typically, simple box frames are fabricated to support the ductwork, whether independent or in groups.

Transverse Restraint Examples

For a cable-restrained duct support bracket there are four options normally encountered for non-isolated systems and four similar arrangements for isolated systems. These options are shown below. The vertical legs of the support bracket must be sized to carry both the weight
load of the supported ductwork as well as the vertical component of the seismic forces. Refer to Chapter D4 for more detailed information as to how to size these members.

Figure D8.4.3-3; Transverse Cable Restraints used in conjunction with Floor-Mounted Duct Support Stands (Non-isolated)

Figures D8.4.3-3 & D8.4.3-4; Transverse Cable Restraints used in conjunction with Floor-Mounted Duct Support Stands (Non-isolated)

Figure D8.4.3-4; Transverse Cable Restraints used in conjunction with Floor-Mounted Duct Support Stands (Isolated)
Longitudinal Restraint Examples

When longitudinally restraining ductwork, a perimeter metal strap or angle tightly screwed to the duct is the most common device used to retain the duct to the support bar. Occasionally connections to flanges are used. (Caution: Connections to flanges should only be used with the flange manufacturer’s concurrence that the flange can withstand the seismic forces.) Details on these connections will be addressed in later sections.

If the details of the connection are ignored at this point, general Longitudinal restraint arrangements recognized in this manual are illustrated below.

Longitudinal restraints offset from the centerline of restrained ductwork will generate additional bending forces in the duct. Because of the nature of ducts, unless restraints are fitted on both sides, there will be an offset. As long as the restraint is immediately adjacent to the duct, it is permissible to use a single restraint point for Longitudinal restraint. For cases where multiple ducts are being supported on a common structure, the Longitudinal restraint should be between ducts in line with the approximate side-to-side center of gravity location.

**Figure D8.4.3-5; Axial Cable Restraints (Non-isolated)**

**Figure D8.4.3-6; Axial Cable Restraints (Isolated)**
D8.4.3.2 Floor or Roof Mounted Systems Restrained with Struts

As with cable restraints, floor- or roof-mounted duct support systems will normally involve a box frame that supports the duct (single or multiple) with some kind of a trapeze bar. Direct connections between an isolated duct and structure using a strut are not recommended.

Transverse Restraint Examples

With struts there are three typical configurations. As with the cable systems, these arrangements can be used with or without isolation as shown below.

Figure D8.4.3-7; Typical Transverse Restraint Strut Arrangements for Ductwork (Non-Isolated)

SIDE RESTRAINT          DIAGONAL BRACE
ANCHORED TO STRUCTURAL WALL ELEMENT

Figure D8.4.3-8; Typical Transverse Restraint Strut Arrangements for Ductwork (Isolated)

SIDE RESTRAINT          DIAGONAL BRACE
ANCHORED TO STRUCTURAL WALL ELEMENT
SEISMIC RATED ISOLATOR
Longitudinal Restraint Examples

When longitudinally restraining ductwork, a perimeter metal strap or angle tightly screwed to the duct is the most common device used to retain the duct to the support bar. Occasionally welded tabs or connections to flanges are used. (Caution: Connections to flanges should only be used with the flange manufacturer’s concurrence that the flange can withstand the seismic forces.) Details on these connections will be addressed in later sections.

Ignoring the details of the connection at this point, common longitudinal restraint arrangements recognized in this manual are illustrated below.

As with the cable restraints, longitudinal restraints offset from the centerline of restrained ductwork will generate additional bending forces in the duct. Because of the nature of ducts, unless restraints are fitted on both sides, there will be an offset. As long as the restraint is immediately adjacent to the duct, it is permissible to use a single restraint point for longitudinal restraint. For cases where multiple ducts are being supported on a common structure, the longitudinal restraint should be between ducts in line with the approximate side-to-side center of gravity location.

Figure D8.4.3-9; Ductwork Longitudinally Restrained with Struts (Non-Isolated)

Figure D8.4.3-10; Ductwork Longitudinally Restrained with Struts (Isolated)
TRANSFERRING FORCES (DUCT RESTRAINTS)

In order for a restraint system to do its job, all elements of the connections need to be sized and installed properly. Because of the large variety and quantity of interfacing conditions in any given installation, piping, duct, and electrical distribution systems are particularly prone to problems in this area.

The next several sections of this manual will deal with specific components used to clamp cable ends together or anchor cables or struts to steel members, wood members, and concrete or masonry. There are several types of connections used for each of these conditions, and each type of connection requires some degree of care and understanding to achieve full capacity.

There are a few general rules that apply when adding restraints to systems. These are listed below along with a few comments meant to provide a basic understanding or rationale.

1) Friction generally cannot be counted on when dealing with dynamic, seismic load conditions. Connections, with the following exceptions, should be positive in nature and not require gravity to ensure their continued long-term operation.

Exceptions:
A) Cable end connections (Swaged ends, U-bolts, and QuakeLocs can be used with appropriate installation procedures).
B) Toothed strut nuts used in conjunction with a purpose-designed strut material (Unistrut, for example). (Rationale: Permitted friction connections have been well researched and deal with a narrow range of applications. In addition, once properly tightened, the components are such that the likelihood of their coming loose as a result of seismic load conditions is very low.)

2) Anchors used for the support of overhead equipment cannot also be used for the anchorage of seismic restraints. (Rationale: The loads used to size hanger rods and anchors are based on the weight loads generated by the Ductwork. Seismic forces can increase the tensile loads significantly, and the combination of loads can cause the anchorage to fail.)

3) Anchors to concrete must comply with minimum edge distance, spacing, and slab thickness requirements. To achieve full capacity ratings they must further not be installed into a surface containing significant tensile forces. (Rationale: All anchorage must be in compliance with ICC allowables for seismic applications. Unless otherwise noted, it is assumed that connections are not made to the underside of structural concrete beams.)

4) Screws attached to wood must comply with minimum edge distance, spacing, and embedment requirements, and must further not be embedded into the end grain of the
wooden member.  (Rationale: All wood anchorage must be in compliance with NDS allowables for seismic applications. Full capacity can only be achieved with adequate embedment, end, and edge distances into the side grain of structural wood members.)

5) Connections that have the potential to expose open bar joist chords to significant lateral loads are not permitted. (Rationale: Open joists are notoriously weak in their lateral axis. They are not designed to take loads, particularly on the lower cord, and even light lateral loads can generate buckling and quickly cause catastrophic failure.)

6) Connections that have the potential to generate significant lateral loads on the weak axis of I-beams or channels used as joists or columns are not permitted unless approved by the structural engineer of record. (Rationale: Floor or roof support beams are significantly weaker in their minor axis than in their major axis. While they can, under some conditions, withstand some lateral loads, the engineer of record should be consulted to ensure that capacity exists on particular members to withstand the anticipated loads. If these loads are exceeded, catastrophic failures can quickly result.)

7) Holes should not be added to key structural members without prior authorization from the engineer of record. (Rationale: The addition of holes, particularly in flanges, can greatly reduce the structural capacity.

8) The duct-to-duct connection can become a critical factor in evaluating the performance of the system. Unless otherwise informed, Kinetics Noise Control assumes connections to be of “medium” deformability as defined by the design code. This is generally appropriate for most types of inter-duct connections including proprietary flange systems (but this is subject to verification by others). Often it is desired to use flanges for support or restraint connections in the field. This should not be attempted without the knowledge and permission of the flange manufacturer. (Rationale: The magnitude of the forces transferred through these connections during a seismic event can far exceed those required for normal use and the potential exists for restraint connections to these flanges to fail causing significant damage to the system.)
NON-MOMENT GENERATING CONNECTIONS

The IBC codes allow the omission of seismic restraints for piping, conduit and ductwork runs under many conditions without regard to size but that are located within 12” of the structure. Refer also to section D2 of this manual for specific exemptions by code version. In order to qualify for this, the following parameters must be met:

1) The length of all supports on the run measuring from the top anchorage point to the connection point to the trapeze bar or the top of a singly supported pipe or conduit run must not exceed 12”.
2) Unrestrained free travel of the supported system must be such that over the course of its movement, contact is not made with any other system, component or structural element that can result in damage to either the supported system or the object it hit.
3) The top connection to the structure must include a Non-Moment generating connection to prevent damage to the hanger rod or support strap.

A Non-Moment generating connection is any device that would allow a free flexing action of the hanger rod or support strap for an unlimited number of cycles without its being weakened. This motion must be permitted in any direction.

Shown below are typical examples of acceptable Non-Moment generating connections. Any other device that allows the same freedom of motion is equally acceptable.

A hanger rod rigidly embedded into the underside of a concrete structural slab is not.

Figure D8.5.5-1; Non-moment generating connections
CONNECTION OPTIONS FOR AWKWARD SITUATIONS

Almost every project will include some areas where installing restraints in a conventional fashion will be difficult. This segment of the manual offers options to consider when confronted with various situations.

D8.6.1 Long, Narrow Hallways

Probably the most common issue in the field is how to deal with transverse restraints in long, narrow hallways. Normally there is considerable congestion in these areas and not enough room to angle restraints up to the ceiling structure. Often the walls are not structural and do not offer a surface to which to anchor.

When evaluating halls, the first issue is to determine if either of the walls of the hall is structural. If either wall is structural, it offers a surface to which the restraints can often be attached. For structural walls, any relative displacement issues between the wall and the structure supporting the pipe must be identified. The maximum permitted relative displacement is ¼ inch, which for most structures corresponds to a difference in elevation of approximately 2 feet (see also the structural attachment section of this chapter).

Assuming the wall meets both of the above requirements, a transverse restraint can be run either directly over to the wall or up at a slight angle to the wall. Normally this would be done with a strut as shown below.

Figure D8.6-1; Trapeze-Mounted Duct Restrained to Structural Wall or Column with a Horizontal Strut
For the case where there are no nearby structural connection points or where the nearby structural elements are not suitable, there are several options that can be considered.

The first option is to restrain to the ceiling using “X” bracing or a diagonal strut.

**Figure D8.6-2; Trapeze-Supported Duct Restrained to Structural Wall or Column with an Sloping Strut**

**Figure D8.6-3; Clevis-Supported Ductwork Restrained to Structural Wall or Column**

**Figure D8.6-4; “X” or Diagonally Braced Restraint Arrangement**
A “K” or double “K” brace can also be used. The “K” can either be located inside the support rods or outside the support rods, but in the case of a double “K”, both sides must be identical (either inside or outside).

Figure D8.6-5; Single and Double “K” Brace Restraint Arrangement

In cases where only non-structural walls limit access for restraint, it is frequently possible to penetrate the non-structural wall and shift the lateral restraint device to the opposite side of the wall or partition as shown here.

Figure D8.6-6; Wall Penetration Restraint (Cable)

Figure D8.6-7; Wall Penetration Restraint (Strut)
D8.6.2 Axial Restraint Strut at a Dogleg

This arrangement is often a convenient way to connect an axial restraint and can occur both in the horizontal and vertical plane. Often it will be found that when installing ductwork, a jog has been added to a run to avoid running into a column or other structural member. Where this occurs, it offers an easy way to connect an axial restraint.

![Figure D8.6-8; Axial Restraint Strut at a Dogleg](image)

D8.6.3 Piggyback or Double-Tier Restraint

In congested areas, occasionally there is a double layer of ductwork supported off a single trapeze arrangement. It is possible under some conditions to brace one trapeze bar to the other, and then restrain the second trapeze bar to the structure. If doing this, there are a couple of cautions. First, the restraint capacity for the second trapeze bar must be adequate to restrain the total load from both bars and, second, the ductwork must be similar in nature and ductility.

![Figure D8.6-9; Piggyback or Double-Tier Restraint Arrangement](image)
D8.6.4 Restraints for Ductwork Mounted Well Below the Support Structure

This situation is not easily handled. Past history has shown, and the code is quite clear, that it is not a good idea to support the duct from one structural element and restrain it using another structural element that will undergo significantly different motions. Restraints fit in this fashion will likely fail or cause the supports for the system that is being supported to fail. Neither of these outcomes is desirable.

About the only solution to this is to add a support structure for the ductwork that is located either just above or just below the level of the duct. The ductwork can then be both attached and restrained to this structure.

The structure can be supported off the floor, off the ceiling, or from structural walls or columns. The support structure must be rigid enough to absorb all of the seismic loads, and particularly the moments, with minimal deformation, transferring pure shear or tensile forces into the supports.